



## RESOLUTION

RELATING TO THE APPOINTMENT OF KATY Y. CHEN TO SERVE ON THE ETHICS COMMISSION OF THE CITY AND COUNTY OF HONOLULU.

WHEREAS, Section 11-107 of the Revised Charter of the City and County of Honolulu, 1973 ("Charter"), as amended, establishes an Ethics Commission ("Commission") comprised of seven members appointed by the Mayor and confirmed by the Council to five-year terms; and

WHEREAS, the Commission has the basic duties of rendering advisory opinions and conducting investigations concerning the application of the standards of ethical conduct of the City and recommending appropriate disciplinary action against City officers and employees who violate the standards; and

WHEREAS, Section 11-107 of the Charter prohibits members of the Commission from taking an active part in political management or in political campaigns; and

WHEREAS, Section 13-103 of the Charter requires a Commission member to be a registered voter of the City; and

WHEREAS, by Mayor's message MM-163 (2011), the Mayor has appointed Katy Y. Chen to the Commission; and

WHEREAS, if confirmed by the Council, Ms. Chen will replace Jeffrey Portnoy who resigned, and serve the remainder of Mr. Portnoy's term which expires on December 31, 2015; and

WHEREAS, the Council has reviewed Ms. Chen's qualifications and character and finds that Ms. Chen qualifies to serve on the Commission; now, therefore,

BE IT RESOLVED by the Council of the City and County of Honolulu that this body confirms the appointment of Katy Y. Chen to the Ethics Commission for a term expiring on December 31, 2015; and

BE IT FURTHER RESOLVED that the Council expresses its gratitude and appreciation to Ms. Chen for her willingness to be considered for public service and actively participate in city government; and



**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

No. 12-2

**RESOLUTION**

BE IT FINALLY RESOLVED that copies of this Resolution be transmitted to the Mayor, Chair of the Ethics Commission, and Katy Y. Chen.

INTRODUCED BY:

*Romy M. Lantieri (B/R)*

DATE OF INTRODUCTION:

**JAN 03 2012**

Honolulu, Hawaii

Councilmembers

CITY COUNCIL  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII  
CERTIFICATE

**RESOLUTION 12-2**

Introduced: 01/03/12 By: ROMY CACHOLA (BR)

Committee: EXECUTIVE MATTERS  
AND LEGAL AFFAIRS

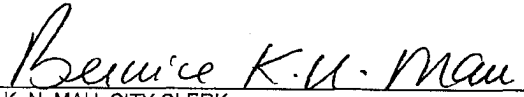
Title: RESOLUTION RELATING TO THE APPOINTMENT OF KATY Y. CHEN TO SERVE ON THE ETHICS  
COMMISSION OF THE CITY AND COUNTY OF HONOLULU.

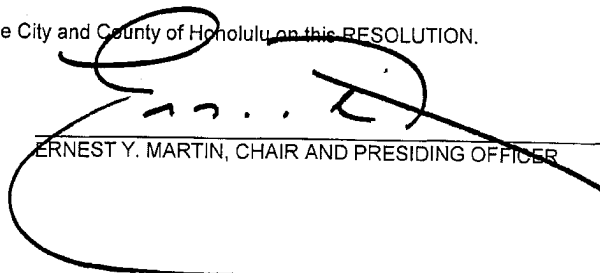
Links: [RES.12-2](#)  
[CR-23](#)

Voting Legend: Y= Aye, Y\* = Aye w/Reservations, N = No, A = Absent, ABN = Abstain

PUBLISH	11/26/11	PUBLIC HEARING NOTICE PUBLISHED IN THE HONOLULU STAR-ADVERTISER.			
PUBLIC HEARING	12/07/11	PUBLIC HEARING CLOSED AND REFERRED TO COMMITTEE ON EXECUTIVE MATTERS AND LEGAL AFFAIRS.			
ANDERSON Y	BERG Y	CACHOLA Y	CHANG A	GABBARD Y	
GARCIA Y	HARIMOTO Y	KOBAYASHI Y	MARTIN Y		
EXECUTIVE MATTERS AND LEGAL AFFAIRS	01/10/12	CR-23 – RESOLUTION REPORTED OUT OF COMMITTEE FOR ADOPTION.			
COUNCIL	01/25/12	CR-23 AND RESOLUTION 12-2 WERE ADOPTED.			
ANDERSON A	BERG Y	CACHOLA Y	CHANG Y	GABBARD Y	
GARCIA A	HARIMOTO Y	KOBAYASHI Y	MARTIN Y		

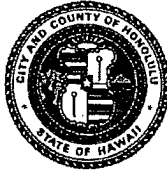
I hereby certify that the above is a true record of action by the Council of the City and County of Honolulu on this RESOLUTION.

  
BERNICE K. N. MAU, CITY CLERK

  
ERNEST Y. MARTIN, CHAIR AND PRESIDING OFFICER

OFFICE OF THE MAYOR  
CITY AND COUNTY OF HONOLULU

530 SOUTH KING STREET, ROOM 300 \* HONOLULU, HAWAII 96813  
PHONE: (808) 768-4141 \* FAX: (808) 768-4242 \* INTERNET: [www.honolulu.gov](http://www.honolulu.gov)



PETER B. CARLISLE  
MAYOR

DOUGLAS S. CHIN  
MANAGING DIRECTOR  
CHRYSTN K. A. EADS  
DEPUTY MANAGING DIRECTOR

November 22, 2011

The Honorable Ernest Y. Martin, Chair  
and Members  
Honolulu City Council  
530 South King Street, Room 202  
Honolulu, Hawaii 96813

RECEIVED  
CITY CLERK  
C & C OF HONOLULU  
2011 NOV 22 AM 10:42

Dear Chair Martin and Councilmembers:

Pursuant to Section 13-103(b) of the Revised Charter of the City and County of Honolulu,  
I hereby appoint, subject to confirmation by the City Council:

Katy Y. Chen  
Member of the Ethics Commission

Ms. Chen will replace Jeffrey Portnoy who resigned, and she will serve for a term to  
expire December 31, 2015.

I have enclosed Ms. Chen's personal information form for your review.

I would appreciate your favorable consideration of Ms. Chen's appointment and request  
expedient adoption by the City Council.

Very truly yours,

A handwritten signature in cursive script that reads "Peter B. Carlisle".

Peter B. Carlisle  
Mayor

cc: Ms. Katy Y. Chen  
Ethics Commission  
Corporation Council  
Douglas Chin, Managing Director  
JI

CITY AND COUNTY OF HONOLULU  
NOMINEE/APPOINTEE FORM

1. Position Nominated to: Ethics Commission
2. Name: Katy Yen-ju Chen  
(First) (Middle) (Last)
3. Are you a citizen of the United States? Yes X No \_\_\_\_  
  
Are you a resident of the City and County of Honolulu? Yes X No \_\_\_\_  
If yes, how long total 12 years  
  
Are you a registered voter in the City and County of Honolulu? Yes X No \_\_\_\_
4. Occupation: Nonprofit Executive
5. Name and address of employer or firm:  
PATCH - People Attentive to Children
6. Does your employer do any business with the City and County of Honolulu?  
Yes \_\_\_\_ No X If yes, state the nature of business and approximate dollar amount  
in the last five years:  
  
\_\_\_\_\_
7. Do you or does any member of your immediate family hold office or own stock in  
any firm? Yes \_\_\_\_ No X  
  
Does the firm do business with the City and County of Honolulu? Yes \_\_\_\_ No \_\_\_\_  
Provide details of your "yes" answers including the name(s) of the firm:  
  
\_\_\_\_\_
8. Do you have any part-time employment, professional activity, or financial  
interests other than those indicated in the previous question? Yes X No \_\_\_\_  
If yes, provide details:  
I have mutual and retirement funds, am in a private investment group, and own an overseas rental property. I serve on the Board of the Hawaii Book & Music Festival which receives County support.
9. Do you foresee any possible conflict between your present work, financial investments, business  
transactions or any other activity which would be incompatible with the proper discharge of your  
official duties or hinder you from effectively carrying out the duties for which you have been  
appointed? Yes \_\_\_\_ No X
10. Are any members of your family employed by the City and County of Honolulu or any attached  
agency? Yes \_\_\_\_ No X If yes, please specify the department and division:  
  
\_\_\_\_\_

11. Are there any incidents in your past that may jeopardize your nomination?  
Yes \_\_\_ No X
12. Have you ever been convicted of a felony? If yes, provide details:  
No.  
\_\_\_\_\_
13. Education:  
University of Washington: Bachelors of Arts with Distinction; Cum Laude - Political Science  
Juris Doctorate
14. Provide a summary of major work experience for the last ten (10) years. Begin with your present job, including military (attach additional sheets if necessary or resume):  
Executive Director, PATCH (2006 - present); Executive Director, Hawaii Literacy (2003 - 2006); Chief Executive, Chinese Information & Advice Center (1998 - 2002)  
\_\_\_\_\_
15. Community activities, etc. (also list any service on any other board or commission Federal, State or County):  
PONO Fellow (2011) Hawaii Community Foundation; Pacific Century Fellow (2007); Board Member, Secretary, Hawaii Book & Music Festival (2004 - present); Joint Council for the Welfare of Immigrants (2001-02); Islington Chinese Association (2001-02); Young Lawyers Division of the Hawaii St. Bar (1998)
16. Have you ever been a member of a board or commission with the City and County of Honolulu?  
Yes \_\_\_ No X If yes, provide name(s) of board/commission:  
\_\_\_\_\_
17. Will you be able to commit to the full term of this appointment? Yes X No \_\_\_
18. Will you be able to commit to meeting dates and times? Yes X No \_\_\_
19. Are you regularly away from Honolulu? Yes \_\_\_ No X If, yes, please explain:  
\_\_\_\_\_
20. Are you willing to make a confidential financial disclosure to the city Ethics Commission upon appointment and yearly thereafter? Yes X No \_\_\_
21. What do you understand to be the prime duties of your county appointment?  
The prime duty of serving on the Ethics Commission is to fairly, accurately and consistently interpret and apply the County ethics regulations against the actions and/or intentions of County employees. Sometimes, employees may ask the Commission for guidance and clarification before taking action. Other times, the employees actions will be brought to the attention of the Commission by another party.  
\_\_\_\_\_

The above statements made by me are true, complete and correct to the best of my Knowledge and belief, and are made in good faith.

Katy Chen  
(Signature)

11/18/2011  
(Date)

*The completed form and any attachment(s) will be posted by the City Clerk and available on the City's DocuShare Website.*

Rev.10/10

## KATY Y. CHEN, ESQ.

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### education

University of Washington, School of Law, *Juris Doctor*, Seattle, Washington.  
University of Washington, *Bachelor of Arts with Distinction*, Cum Laude- Political Science,  
Phi Beta Kappa, Golden Key Honors Society.

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### ♦ *Executive Director*

◇ PATCH – People Attentive to Children (December 2006 – present).

The only statewide child care resource and referral agency, specializing in early childhood education and servicing families, child care providers and early childhood educators.

◇ Hawaii Literacy, Honolulu, Hawaii (2003- 2006).

Non-profit specializing in adult literacy, English-as-a-Second Language, and bookmobile and family literacy services in public housing projects.

◇ Chinese Information & Advice Centre, London, England (1999 – 2002). A legal aid and social welfare non-profit for disadvantaged Chinese in the United Kingdom, specializing in an information and training service for Chinese bi-lingual community workers and legal professionals; legal representation and political advocacy in immigration, human rights and family law; and support services to domestic violence victims and asylum seekers.

Primary gain: Extensive experience in strategic, personnel and financial management of a non-profit, including policy making, strategic planning, staff supervision, budgeting, writing and oral skills, public relations and fundraising.

### experience

♦ *Attorney* at Dwyer Imanaka Schraff Kudo Meyer & Fujimoto with a concentration in real estate, non-profit, and intellectual property law. Honolulu, Hawaii (1995-1998).

◇ *Legal intern* at the University of Washington Student Legal Services, concentrating in housing, consumer and family law. Seattle, Washington (1994-1995).

◇ *Legal intern* at the United States District Attorney, Western District of Washington, Seattle, Washington (Spring 1994).

◇ *Criminal Investigator Intern* (volunteer) at the King County Public Defender Association, interviewed police, witnesses and victims, diagrammed crime scenes and served subpoenas. Seattle, Washington (1990-1992).

Primary gain: Constant development of superb research, analytical, writing and oral communication skills, client counseling, team work, and issue identification.

### ♦ *Board Member; Board Officer*

◇ Hawaii Book & Music Festival (2004 – present).

◇ Joint Council for the Welfare of Immigrants (2001-2002).

◇ Islington Chinese Association (2001-2002).

◇ Young Lawyers Division of the Hawaii State Bar Association (1998).

◇ University of Washington - Asian Student Council (1991-1992).

◇ American Taiwanese Youth Association (1985-1988).

Primary gain: Experience in strategic management, partnering and collaboration, board and community relations.

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### awards

PONO Fellow, Hawaii Community Foundation, 2011

Forty Under 40 for the State of Hawaii, Pacific Business News - 2007

Pacific Century Fellow - 2007

100 Most Influential Chinese Persons on European Society, Phoenix CNE – 2000